

PCT

5



I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Label No. EL855327675US in an envelope addressed to: Commissioner for Patents, Box PCT, Washington, D.C. 20231,

on January 8, 2002

LAW OFFICES OF JONATHAN ALAN QUINE

By

[Signature]
Client Applying

Attorney Docket No. 407T-980510US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Andrew Shiau et al.

Application No.: 09/830,693

Filed: March 30, 1999

For: **METHODS AND COMPOUNDS FOR
MODULATING NUCLEAR RECEPTOR
ACTIVITY**

Examiner: Unassigned

Art Unit:

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

Attn: Box PCT
Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated *August 16, 2001*, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Paper and Computer Readable Copies of Sequence Listing
- 3) Sequence Listing Statement
- 4) Copy of Notice of Missing Requirements Under 35 USC 371
- 4) *Petition for Extension of Time for 3 month Under 37 CFR 1.136(a)*
- 5) Fee transmittal sheet
- 6) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Large entity: (a)	Filing Fee (§ 1.16(a)) (Large Entity)	\$
(b)	Excess Claims Fees (§ 1.16(b), (c)):	
	___ - 20 = ___ x 18 =	\$ _____
	___ - 3 = ___ x 84 =	\$ _____
(c)	Missing Parts Surcharge	\$ 65.00
TOTAL FEES TO BE CHARGED		<u>\$ 65.00</u>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893. *This Transmittal Letter is submitted in duplicate.(if not submitting fee transmittal)*

Respectfully submitted,



Tom Hunter
Reg. No. 38,498

LAW OFFICES OF JONATHAN ALAN QUINE
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	09/830,693
Filing Date	March 30, 1999
First Named Inventor	Andrew Shiau
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	407T-980510US

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 50-0893
Deposit Account Name: Law Offices of Jonathan Alan Quine

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20**	X	
Independent Claims	-3**	X	
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	720.00
128	1960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 785.00

SUBMITTED BY

Typed or Printed Name: Tom Hunter

Signature: 

Date: 01/08/02

Complete (if applicable)

Reg. Number: 38,498

Deposit Account User ID: _____

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: January 8, 2002

Typed or Printed Name: Chianti Appling

Signature: 

Date: 01/08/02